

2874

Attorney's Docket No.: 42.P10601

Patent

In re the Application of: Dmitri E. Nikonov et al.

(inventor(s))

Application No.: 09/982,227

Filed: October 17, 2001

For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED

WAVEGUIDE GRATING (AWG) AND OPTICAL AMPLIFIER

(title)

Mail Stop Non-Fee Amendment

Commissioner For Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is an Amendment for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

☒ No additional fee is required.

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The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 15	Minus	** 30	0
Indep. Claims	* 2	Minus	*** 7	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

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SMALL ENTITY	
Rate	Additional Fee
X9	\$ -
X43	\$ -
+145	\$ -
Total Add. Fee	\$ -

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0
X86	\$ 0
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_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

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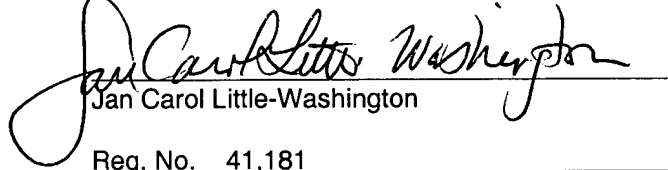
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Date: 11/19/2003

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP


Jan Carol Little-Washington
Reg. No. 41,181